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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Fouad A. Tobagi et al.
SERIAL NO.: 09/853,816
FILED: May 11, 2001
TITLE: System and Method for Controlling Data Transfer Rates on a Network
EXAMINER: Unknown
GROUP ART UNIT: 2664
ATTY.DKT.NO.: PA1689US

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INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

Complete if Known

Application Number	09/853,816
Filing Date	May 11, 2001
First Named Inventor	Fouad Tobagi
Group Art Unit	2664
Examiner Name	Unknown
Attorney Docket Number	PA1689US

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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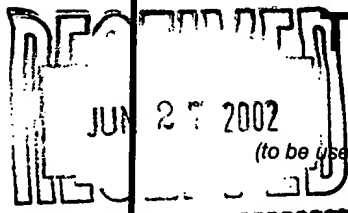
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/853,816

Filing Date May 11, 2001

First Named Inventor Fouad A. Tobagi

Group Art Unit 2664

Examiner Name Unknown

Attorney Docket Number PA1689US

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Carr & Ferrell, LLP Cust. No. 22830

Signature

Wendi R. Schepler Reg. No. 43,091

Date

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No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,
Fouad A. Tobagi et al.

Dated: 6/11/2002

By: Wendi R. Schepler
Wendi R. Schepler, Reg. No. 43,091
Carr & Ferrell LLP
2225 East Bayshore Road, Suite 200
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444

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